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Development of a global evapotranspiration algorithm based on MODIS and global meteorology data

Qiaozhen Mu*, Faith Ann Heinsch, Maosheng Zhao, Steven W. Running

Numerical Terradynamic Simulation Group (NTSG), College of Forestry & Conservation, The University of Montana, 32 Campus Drive, Missoula, MT 59812, USA

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Abstract

The objective of this research is to develop a global remote sensing evapotranspiration (ET) algorithm based on Cleugh et al.'s [Cleugh, H.A., R. Leuning, Q. Mu, S.W. Running (2007) Regional evaporation estimates from flux tower and MODIS satellite data. *Remote Sensing of Environment* 106, page 285–304- 2007 (doi: 10.1016/j.rse.2006.07.007).] Penman–Monteith based ET (RS-PM). Our algorithm considers both the surface energy partitioning process and environmental constraints on ET. We use ground-based meteorological observations and remote sensing data from the MODerate Resolution Imaging Spectroradiometer (MODIS) to estimate global ET by (1) adding vapor pressure deficit and minimum air temperature constraints on stomatal conductance; (2) using leaf area index as a scalar for estimating canopy conductance; (3) replacing the Normalized Difference Vegetation Index with the Enhanced Vegetation Index thereby also changing the equation for calculation of the vegetation cover fraction (F_C); and (4) adding a calculation of soil evaporation to the previously proposed RS-PM method.

We evaluate our algorithm using ET observations at 19 AmeriFlux eddy covariance flux towers. We calculated ET with both our Revised RS-PM algorithm and the RS-PM algorithm using Global Modeling and Assimilation Office (GMAO v. 4.0.0) meteorological data and compared the resulting ET estimates with observations. Results indicate that our Revised RS-PM algorithm substantially reduces the root mean square error (RMSE) of the 8-day latent heat flux (LE) averaged over the 19 towers from 64.6 W/m² (RS-PM algorithm) to 27.3 W/m² (Revised RS-PM) with tower meteorological data, and from 71.9 W/m² to 29.5 W/m² with GMAO meteorological data. The average LE bias of the tower-driven LE estimates to the LE observations changed from 39.9 W/m² to -5.8 W/m² and from 48.2 W/m² to -1.3 W/m² driven by GMAO data. The correlation coefficients increased slightly from 0.70 to 0.76 with the use of tower meteorological data. We then apply our Revised RS-PM algorithm to the globe using 0.05° MODIS remote sensing data and reanalysis meteorological data to obtain the annual global ET (MODIS ET) for 2001. As expected, the spatial pattern of the MODIS ET agrees well with that of the MODIS global terrestrial gross and net primary production (MOD17 GPP/NPP), with the highest ET over tropical forests and the lowest ET values in dry areas with short growing seasons. This MODIS ET product provides critical information on the regional and global water cycle and resulting environment changes.

Keywords: Evapotranspiration; Stomatal conductance; Soil evaporation; Vegetation cover fraction; Remote sensing; Meteorological data; MODIS

1. Introduction

Evapotranspiration (ET), the sum of water lost to the atmosphere from the soil surface through evaporation and from plant tissues via transpiration, is a vital component of the water cycle, which includes precipitation, runoff, streamflow, soil water storage and ET. High correlation was observed between stomatal conductance and the rate of carbon assimilation for a wide range of plant species (Korner, 1994; McMurtrie et al., 1992). Stomatal conductance controls the rate of water and carbon exchange between vegetation and the atmosphere (Cowan, 1977; Cowan & Farquhar, 1977; Farquhar et al., 2002; Hari et al., 1986). In general, high stomatal conductance leads to high transpiration and high photosynthesis, resulting in lowering of soil moisture assuming there are no additional inputs of water, which in turn reduces the stomatal conductance (Dang et al., 1997; Jarvis, 1976; Kawamitsu et al., 1993; Marsden et al., 1996; Misson et al., 2004; Oren et al., 1999; Sandford & Jarvis, 1986). Over a relatively long time period (i.e., a season or a year), the water available for humans and ecosystems in a given region can be approximated by the difference between accumulated precipitation and ET

^{*} Corresponding author. Tel.: +1 406 243 6218; fax: +1 406 243 4510. *E-mail address:* qiaozhen@ntsg.umt.edu (Q. Mu).

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(Donohue et al., 2007). With an increasing human population and rapid climate change, water has become a great concern both for the environment and society. Accurate knowledge of temporal and spatial variations in precipitation and ET is critical for improved understanding of the interactions between land surfaces and the atmosphere, and it is crucial for improving water and land resource management (Dodds et al., 2005; Meyer, 1999; Raupach, 2001), drought detection and assessment (McVicar & Jupp, 1998), and regional hydrological applications (Keane et al., 2002; Kustas & Norman, 1996; Rango & Shalaby, 1998). However, precipitation and ET are the most problematic components of the water cycle to estimate accurately because of the heterogeneity of the landscape and the large number of controlling factors involved, including climate, plant biophysics, soil properties, and topography (Friedl, 1996; Gash, 1987; Janowiak et al., 1998; Maddock et al., 1998; Vörösmarty et al., 1998; http://dx.doi.org/10.1016/j.jhydrol.2007.02.018). Remotely sensed data, especially those from polar-orbiting satellites, provide us with temporally and spatially continuous information over vegetated surfaces and are useful for accurately parameterizing surface biophysical variables, such as albedo, biome type and leaf area index (LAI) (Los et al., 2000). The MODerate Resolution Imaging Spectroradiometer (MODIS) onboard NASA's Terra and Aqua satellites, provide unprecedented information regarding vegetation and surface energy (Justice et al., 2002), which can be used to develop a remotely sensed ET model.

Calculation of ET is typically based on the conservation of either energy or mass, or both. Computing ET is a combination of two complicated major issues: (1) estimating the stomatal conductance to derive transpiration from plant surfaces; and (2) estimating evaporation from the ground surface. Plant transpiration is controlled by canopy conductance, which further represents the average status of leaf level stomatal conductance. Stomatal conductance is sensitive to diurnal changes in absorbed photosynthetically active radiation (APAR=FPAR*IPAR. IPAR=0.45 * Rsw, Rsw: the incident shortwave radiation; IPAR: the photosynthetically active radiation incident on the vegetative surface; FPAR: the fraction of IPAR absorbed by the vegetative surface), vapor pressure deficit, leaf temperature, hydraulic conductance within the plant, and soil moisture near the roots (Dang et al., 1997; Jarvis, 1976; Kawamitsu et al., 1993; Marsden et al., 1996; Misson et al., 2004; Oren et al., 1999; Sandford & Jarvis, 1986). Therefore, a fluctuation in stomatal conductance usually leads to a commensurately large fluctuation in transpiration, and hence, ET. In semiarid or arid systems, soil evaporation is a major component of ET, yet little is known quantitatively about it over large spatial scales. Soil evaporation is reported to range from a few percent to more than 80% of the measured or estimated total ET depending on the vegetation cover (Bethenod et al., 2000; Hsiao & Xu, 2005; Villalobos & Fereres, 1990; Wilson et al., 2000).

As a result, developing a robust algorithm to estimate global evapotranspiration is a significant challenge. Traditional energy balance models of ET require explicit characterization of numerous physical parameters, many of which are difficult to determine globally. For example, SEBAL (Bastiaanssen et al., 1998a,b), SEBS (Su, 2002), and RSEB (Kalma & Jupp, 1990) estimate ET as a residual of the energy balance at the earth's surface, which contain biases from both the sensible heat flux and net radiation. The REBM model (McVicar & Jupp, 1999, 2002) uses combined remote sensing data and meteorological data to calculate ET, while the triangle method (Gillies & Carlson, 1995; Nemani & Running, 1989; Nishida & Nemani, 2003) uses the slope of surface temperature versus the Normalized Difference Vegetation Index (NDVI) to estimate the surface resistance to ET, and the dual-source model developed by Norman et al. (1995) and Kustas and Norman (1999) uses multi-angular remote sensing. For these models, thermal remote sensing data (e.g., land surface temperature [LST]) are the most important inputs.

However, using the 8-day composite MODIS LST (the average LST of all cloud-free data in the compositing window) (Wan et al., 2002) and daily meteorological data recorded at the flux tower, Cleugh et al. (2007) demonstrate that the results from thermal models are unreliable at two Australian sites (Virginia Park, a wet/dry tropical savanna located in northern Queensland and Tumbarumba, a cool temperate, broadleaved forest in south east New South Wales). Using a combination of remote sensing and global meteorological data, we have adapted the Cleugh et al. (2007) algorithm, which is based on the Penman–Monteith method and calculates both canopy conductance and ET. In this paper, we describe the methodology used to develop the ET algorithm, verify the algorithm at 19 AmeriFlux towers in 2001, and apply the algorithm globally.

2. ET algorithm logic

Our ET algorithm is a revision to the algorithm proposed by Cleugh et al. (2007) (hereafter called RS-PM). Their algorithm is based on the Penman–Monteith (P–M) equation (Monteith, 1964):

$$\lambda E = \frac{sA + \rho C_{\rm p}(e_{\rm sat} - e)/r_{\rm a}}{s + \gamma (1 + r_{\rm s}/r_{\rm a})} \tag{1}$$

where λE (unit: W/m²) is the latent heat flux and λ (J/kg) is the latent heat of evaporation; $s=d(e_{sat})/dT$ (unit: Pa/K) and is the slope of the curve relating saturated water vapor pressure $(e_{sat}: Pa)$ to temperature; A (W/m²) is available energy; ρ (kg/m³) is air density; C_p (J/kg/K) is the specific heat capacity of air; e (Pa) is the actual water vapor pressure; and r_a (s/m) is the aerodynamic resistance. The psychrometric constant γ (Pa/K) is given by $\gamma =$ $(M_a/M_w)(C_p P/\lambda)$, where M_a (kg/mol) and M_w (kg/mol) are the molecular masses of dry air and wet air, respectively, and P (Pa) is atmospheric pressure (Maidment, 1993). Surface resistance $(r_s: s/m)$ is an effective resistance to evaporation from the soil surface and transpiration from the plant canopy. Input data to the algorithm include daily meteorology (temperature, actual vapor pressure, and incoming solar radiation) and remotely sensed LAI and NDVI. In addition, this algorithm is computed daily to take advantage of widely available daily meteorology, overcoming the obstacle of using the 8-day MODIS LST data. Cleugh et al. (2007) verified the RS-PM algorithm at the same two flux towers in Australia with good agreement (root mean square error [RMSE] = 27 W/m^2 , $R^2 = 0.74$). The RMSE is expressed as

$$RMSE = \sqrt{\frac{\sum_{i=1}^{N} (LE_{est} - LE_{obs})^2}{N}}$$
(2)

where LE_{est} is the estimated latent heat flux (LE) by the algorithm, LE_{obs} represents the observed latent heat flux, and N is the total number of samples. The smaller the RMSE, the better able the model to estimate ET.

In RS-PM, the surface conductance is estimated by using NDVI and LAI, such that:

$$F_{\rm C} = \left(\frac{\rm NDVI - \rm NDVI_{min}}{\rm NDVI_{max} - \rm NDVI_{min}}\right)^2$$
$$g_{\rm s} = c_{\rm L} \max(\rm LAI_{min}, (F_{\rm C}\rm LAI_{max})) \tag{3}$$

In Eq. (3), g_s (m/s) is the surface conductance and r_s (s/m) is the inverse of g_s ; c_L (m/s) is the mean surface conductance per unit leaf area index; F_C is the fractional vegetation cover; and NDVI_{min} and NDVI_{max} are the minimum and maximum NDVI during the study period.

There are two major problems with Cleugh et al.'s (2007) RS-PM algorithm. First, they have used NDVI and LAI to calculate r_s , assuming that surface resistance is equal to canopy resistance and that soil evaporation is small enough to be neglected in comparison to transpiration from plants. Studies have demonstrated, however, that high ratios of soil evaporation to ET are often observed when the canopy cover (or LAI) is low and the soil surface is moist to wet most of the time (Hsiao & Xu, 2005). For example, Villalobos and Fereres (1990) measured soil evaporation to be 60%-80% of ET for sunflower, maize, and cotton with LAI of 0.6 to 1.2. As the crop canopy increases, covering a larger portion of the ground, soil evaporation decreases. Bethenod et al. (2000) studied maize with complete canopy cover (LAI \approx 4.0) and found that soil evaporation was approximately 10% of total ET. Wilson et al. (2000) measured the energy balance above and below the canopy of a temperate deciduous forest ecosystem and found that, on an annual basis, the ET fluxes from the forest floor were 15%-22% of those above the canopy and the evaporation was 86 mm, or about 10% of the total ecosystem ET.

Second, there is no water stress or temperature constraint on canopy conductance in the RS-PM algorithm, which can result in large biases in dry or cold seasons. Saugier et al. (1997) measured the transpiration of a boreal pine forest in the southern Canada BOREAS study area. They observed that transpiration rates were low even when the soil was well supplied with water, attributing the low rates of transpiration to the canopy's low LAI and a marked reduction in stomatal conductance as vapor pressure deficits increased. To resolve these two issues, we have improved RS-PM algorithm as described in Sections 2.1 through 2.3 by (1) adding vapor pressure deficit and minimum air temperature constraints on stomatal conductance; (2) using leaf area index as a scalar for estimating canopy conductance; (3) replacing the Normalized Difference Vegetation Index with the Enhanced Vegetation Index, thereby also changing the equation for calculation of the vegetation cover fraction (F_C); and (4) adding a calculation of soil evaporation. Fig. 1 shows the logic behind the MODIS ET Algorithm for calculating daily MODIS ET.

2.1. Improvements to canopy conductance calculation

For many plant species, stomatal conductance (Cs) decreases as vapor pressure deficit (VPD) increases, and stomatal conductance is further limited by both low and high temperatures (Dang et al., 1997; Jarvis, 1976; Kawamitsu et al., 1993; Leuning, 1995; Marsden et al., 1996; Misson et al., 2004; Oren et al., 1999, 2001; Sandford & Jarvis, 1986; Schulze et al., 1994; Xu & Baldocchi, 2002). VPD is calculated as the difference between saturated air vapor pressure, as determined from air temperature (Murray, 1967), and actual air vapor pressure. Because high temperatures are often accompanied by high VPDs, we have only added constraints on stomatal conductance for VPD and minimum air temperature, ignoring constraints resulting from high temperature. We used LAI as a scalar to convert the stomatal conductance (Cs) calculated at the leaf level to a canopy conductance (Cc) (Landsberg & Gower, 1997):

$$C_{\rm S} = c_{\rm L} \times m(T{\rm min}) \times m({
m VPD})$$

 $Cc = Cs \times {
m LAI}$ (4)

where $c_{\rm L}$ is the mean potential stomatal conductance per unit leaf area, m(Tmin) is a multiplier that limits potential stomatal conductance by minimum air temperatures (Tmin), and m(VPD) is a multiplier used to reduce the potential stomatal conductance when VPD is high enough to inhibit photosynthesis (Dang et al., 1997; Jarvis, 1976; Kawamitsu et al., 1993; Leuning, 1995; Marsden et al., 1996; Misson et al., 2004; Oren et al., 1999, 2001; Sandford & Jarvis, 1986; Schulze et al., 1994; Xu & Baldocchi, 2002). In the case of plant transpiration, surface conductance $(g_s \text{ in Eq. } (3))$ is equal to the canopy conductance, and hence surface resistance (r_s) is the inverse of canopy conductance (Cc). The LAI in Eq. (4) is obtained from the global 8-day standard MODIS LAI product, which is estimated using a canopy radiation transfer model combined with remotely sensed surface reflectance data (Myneni et al., 2002). We calculate the constraints for minimum air temperature (Tmin) and VPD as:

$$m(T\min) = \begin{cases} 1.0 & T\min \ge T\min_open \\ T\min_open - T\min_close & T\min_close \\ T\min_open - T\min_close & T\min_close \\ 0.1 & T\min_close \\ \end{cases}$$

$$m(VPD) = \begin{cases} 1.0 & VPD_close - VPD \\ VPD_close - VPD \\ 0.1 & VPD_open \\ VPD_close \\ \end{cases}$$

$$VPD_open < VPD < VPD_open \\ VPD_close \\ VPD_close \\ \end{cases}$$

$$VPD_open < VPD_open \\ VPD_close \\ VPD_close \\ \end{cases}$$

where close indicates nearly complete inhibition (full stomatal closure) and open indicates no inhibition to transpiration (Table 1).



LAI: leaf area index;

ET: evapotranspiration.

Fig. 1. Flowchart showing the logic behind the MODIS ET Algorithm for calculating daily MODIS ET.

When Tmin is lower than the threshold value Tmin_close, or VPD is higher than the threshold VPD_close, the temperature or the water stress will cause stomata to close almost completely, halting plant transpiration. On the other hand, when Tmin is higher than Tmin_open, and VPD is lower than VPD_open, there will be no temperature or water stress on transpiration. The multipliers range linearly from 0.1 (nearly total inhibition, limiting r_s) to 1 (no inhibition) for the range of biomes also used in the MOD17 GPP/NPP algorithm, which are listed in a Biome Properties Look-Up Table (BPLUT) (Table 1) (Heinsch et al., 2003; Running et al., 2004). Complete details on the derivation of the algorithm and the values used in the BPLUT can be found elsewhere (Heinsch et al., 2003; Running et al., 2000). The effect of soil water availability is not included in the ET algorithm. Some studies have suggested that atmospheric conditions reflect surface parameters (Bouchet, 1963; Morton, 1983), and VPD can be used as an indicator of environment water stress (Granger & Gray, 1989; Running & Nemani, 1988). In addition, Mu et al. (2007) found that VPD alone can capture interannual variability of the full water stress from both the atmosphere and soil for almost all of China and the conterminous U.S., though it may fail to capture the full seasonal water stress in dry regions experiencing strong summer monsoons.

2.2. Improvements on vegetation cover fraction

The Normalized Difference Vegetation Index (NDVI) and Enhanced Vegetation Index (EVI) are designed to provide consistent, spatial, and temporal comparisons of global vegetation conditions that can be used to monitor photosynthetic activity (Huete et al., 2002; Justice et al., 2002; Tucker, 1979). NDVI is defined as

$$NDVI = \frac{\rho_{NIR} - \rho_{red}}{\rho_{NIR} + \rho_{red}}$$
(6)

where ρ_{NIR} (841–876 nm) and ρ_{red} (620–670 nm) are the surface reflectance factors for the respective MODIS near-infrared and red bands, respectively. The primary disadvantage of NDVI is the inherent non-linearity of ratio-based indices and the influence of additive noise effects, such as atmospheric path radiances. The NDVI also exhibits scaling problems and asymptotic (saturated) signals during high biomass conditions. It is very sensitive to

Table 1 The Biome Properties Look-Up Table (BPLUT) for MODIS ET

Parameter	ENF	EBF	DNF	DBF	MF	WL
Tmin_open (°C)	8.31	9.09	10.4	4 9.9	94 9.50	11.39
Tmin_close (°C)	-8.00	-8.00	-8.0	0 -6.0	00 -7.00	-8.00
VPD_close (Pa)	2500	3900	3500	2800	2700	3300
VPD_open (Pa)	650	930	650	650	650	650
Parameter	Wgrass	Cshr	ub	Oshrub	Grass	Crop
Tmin_open (°C)	11.39	9 8	.61	8.80	12.02	12.02
Tmin_close (°C)	-8.00	0 -8	.00	-8.00	-8.00	-8.00
VPD_close (Pa)	3600	3300		3700	3900	3800
VPD_open (Pa)	650	650		650	650	650

ENF: evergreen needleleaf forest; EBF: evergreen broadleaf forest; DNF: deciduous needleleaf forest; DBF: deciduous broadleaf forest; MF: mixed forest; WL: woody savannas; Wgrass: savannas; Cshrub: closed shrubland; Oshrub: open shrubland; Grass: grassland, urban and built-up, barren or sparsely vegetated; Crop: cropland.

canopy background variations, with NDVI degradation particularly strong at higher canopy background brightness (Huete et al., 2002). The enhanced vegetation index (EVI) was developed to optimize the vegetation signal with improved sensitivity in high biomass regions and improved vegetation monitoring through a decoupling of the canopy background signal and a reduction in atmosphere influences, using the equation:

$$EVI = G \times \frac{\rho_{\text{NIR}} - \rho_{\text{red}}}{\rho_{\text{NIR}} + C_1 \times \rho_{\text{red}} - C_2 \times \rho_{\text{blue}} + L}$$
(7)

where ρ is the surface reflectance in each respective band (ρ_{blue} : 459–479 nm), *L* is the canopy background adjustment that addresses non-linear, differential NIR and red radiant transfer through a canopy, C_1/C_2 are the coefficients of the aerosol resistance term, which use the blue band to correct for aerosol influences in the red band, and *G* (gain factor)=2.5. More details can be found in papers by Huete et al. (2002, 2006).

 $F_{\rm C}$ is defined as the fraction of ground surface covered by the maximum extent of the vegetation canopy (varies between 0 and 1). Cleugh et al. (2007) calculated $F_{\rm C}$ using NDVI (Eq. (3)). In our Revised RS-PM algorithm, we have replaced NDVI with EVI, calculating vegetation cover fraction as:

$$F_{\rm C} = \frac{\rm EVI - \rm EVI_{min}}{\rm EVI_{max} - \rm EVI_{min}} \tag{8}$$

where EVI_{min} and EVI_{max} are the signals from bare soil (LAI \rightarrow 0) and dense green vegetation (LAI $\rightarrow \infty$) (Gutman & Ignatov, 1998), which are set as seasonally- and geographically invariant constants 0.05 and 0.95, respectively. When Fc is bigger than 1, Fc is 1, and when Fc is less than 0, Fc is 0. We have done several sensitivity experiments, setting (EVI_{min}, EVI_{max}) as (0.01, 0.99), (0.05, 0.92), (0.11, 0.92) and (-0.5, 0.99), respectively. There is not much difference between the RMSE (less than 1.00 W/m²), bias (about 3.00 W/m²) and correlation coefficient (less than 0.01) from different sensitivity experiments.

Net radiation is linearly partitioned between the canopy and the soil surface using this vegetation cover fraction ($F_{\rm C}$) such that:

$$A_{\rm C} = F_{\rm C} \times A$$
$$A_{\rm SOIL} = (1 - F_{\rm C}) \times A \tag{9}$$

where $A_{\rm C}$ and $A_{\rm SOIL}$ are the total net incoming radiation (A) partitioned to the canopy and soil, respectively.

2.3. Soil evaporation

To account for areas with sparse canopy cover, we have added a soil evaporation component to our Revised RS-PM algorithm. To calculate soil evaporation, the potential evaporation ($\lambda E_{\text{SOIL}_{\text{POT}}}$) is first calculated using the Penman–Monteith method (Eq. (1)). The total aerodynamic resistance to vapor transport (r_{tot}) is the sum of surface resistance (r_{s}) and the aerodynamic resistance for vapor transport (r_{v}) such that $r_{\text{tot}}=r_{\text{v}}+r_{\text{s}}$ (Van de Griend, 1994). A constant r_{totc} (107 s m⁻¹) for r_{tot} is assumed globally based on observations of the ground surface in tiger-bush in southwest Niger (Wallace & Holwill, 1997), but it is corrected (rcorr) for atmospheric temperature (*T*) and pressure (*P*) (Jones, 1992) with standard conditions assumed to be T=20 °C and P=101,300 Pa.

$$rcorr = \frac{1.0}{\left(\frac{273.15+T}{293.15}\right)^{1.75} \times \frac{101300}{P}}$$

$$r_{tot} = r_{totc} \times rcorr$$

$$r_{totc} = 107.0$$
(10)

We assume that r_v (s/m) is equal to the aerodynamic resistance $(r_a: s/m)$ from Eq. (1) since the values of r_v and r_a are usually very close (Van de Griend, 1994). The aerodynamic resistance (r_a) is parallel to both the resistance to convective heat transfer $(r_c: s/m)$ and the resistance to radiative heat transfer $(r_r: s/m)$ (Choudhury & DiGirolamo, 1998), such that

$$r_{\rm r} = \frac{\rho \times C_{\rm p}}{4.0 \times \sigma \times T^3}$$

$$r_{\rm a} = \frac{r_{\rm c} \times r_{\rm r}}{r_{\rm c} + r_{\rm r}}$$
(11)

The r_c is assumed to be equal to boundary layer resistance, which is calculated in the same way as total aerodynamic resistance (r_{tot}) from Eq. (10) (Thornton, 1998). Finally, the actual soil evaporation (λE_{SOIL}) is calculated in Eq. (12) using potential soil evaporation (λE_{SOIL}) and the complementary relationship hypothesis (Bouchet, 1963; Fisher et al., in press), which defines land-atmosphere interactions from vapor pressure deficit and relative humidity (RH, %).

$$\lambda E_{\text{SOIL_POT}} = \frac{sA_{\text{SOIL}} + \rho C_{p}(e_{\text{sat}} - e)/r_{a}}{s + \gamma \times \frac{r_{\text{tot}}}{r_{a}}}$$
$$\lambda E_{\text{SOIL}} = \lambda E_{\text{SOIL_POT}} \times \left(\frac{RH}{100}\right)^{(esat-e)/100}$$
(12)

To examine the sensitivity of λE_{SOIL} to r_{tot} in Eq. (10), we used different values for r_{totc} in the algorithm. The observed LE average over the 19 flux towers is 66.9 W/m^2 , while the average LE estimate is 61.0 W/m² driven by tower meteorological data and 65.6 W/m² driven by GMAO data. When r_{totc} is 10 s m⁻¹, much lower than 107 s m⁻¹, soil evaporation is much higher, and hence LE is much higher, with the average tower-driven LE of 86.0 W/m² and GMAO-driven LE of 98.7 W/m². However, when r_{tote} ranges between 50 s m⁻¹ and 1000 s m⁻¹, there is little difference in the soil evaporation results, and there is, therefore, little change in LE (tower-driven LE average of 54.4-64.6 W/m² and GMAO-driven LE average of 58.9-70.0 W/m²). The value of 50 sm^{-1} was chosen as the lower bound because it is very close to the mean boundary layer resistance for vegetation under semiarid conditions, and there is little variation around this mean (Van de Griend, 1994). Finally, the latent heat flux for the ecosystem is calculated as the sum of the transpiration (Eq. (1)) and the soil evaporation (Eq. (12)).

3. Eddy covariance flux towers

To validate our Revised RS-PM algorithm, we used the observed latent heat flux for a number of field-based eddy covariance flux towers. The AmeriFlux network (http://public.ornl. gov/ameriflux/) was established in 1996 as a network of field sites that provide continuous observations of ecosystem level exchange of CO_2 , water, and energy. AmeriFlux, part of the global Fluxnet network (Baldocchi et al., 2001), is currently comprised of 106 sites in North America, Central America, and South America. We verified the ET algorithm at 19 AmeriFlux eddy covariance tower sites (Table 2, Fig. 2) during 2001. These flux towers (Fig. 2, Table 2) cover six typical land cover types and a wide range of climates.

4. Data and methods

4.1. Eddy covariance flux tower sites

For each tower, we obtained the ET estimates using both the RS-PM algorithm and our Revised RS-PM algorithm, comparing the estimated ET with observations at the flux tower sites. Since the observed water vapor fluxes are the sum of the plant transpiration and soil evaporation, and it is not possible to separate the two fluxes using standard flux tower data, we compare only the total evapotranspiration estimates with the observed total ET.

4.1.1. Input datasets

For each tower and each algorithm, we estimated ET using two different sets of meteorological data: (1) integrated meteorological data derived from the half-hour observations at flux tower sites and (2) the Global Modeling and Assimilation Office (GMAO; Global Modeling and Assimilation Office, 2004) meteorological data at $1.00^{\circ} \times 1.25^{\circ}$ resolution. The GMAO



Fig. 2. Distribution of the 19 AmeriFlux eddy flux towers used for verification of the ET algorithm.

dataset is also used in the calculation of MODIS GPP and NPP (Running et al., 2004). Remote sensing inputs include Collection 4 MODIS land cover (MOD12Q1; Friedl et al., 2002), MOD13A2 NDVI/EVI (Huete et al., 2002, 2006), MOD15A2 LAI (Myneni et al., 2002), and the 0.05° albedo from MOD43C1 (http://www-modis.bu.edu/brdf/userguide/cmgalbedo.html; Jin et al., 2003a,b; Lucht et al., 2000; Salomon et al., 2006; Schaaf et al., 2002). For each tower, we calculated the ET for the vege-tated 3×3 1-km² MODIS pixels surrounding each site driven by the pre-processed GMAO and MODIS data as following, and

Table 2

The locations, abbreviations, biome types in the parentheses, latitude (Lat), longitude (Lon), elevation (Elev, unit: m), annual mean MODIS EVI (EVI), annual mean LAI (LAI) and the published papers for the 19 AmeriFlux eddy flux towers

Site	Abbrev.	Lat	Lon	Elev	EVI	LAI	Citation
Kennedy Space Flight Center scrub oak, FL	KSCOak (DBF)	28.61	-80.67	3	0.40	3.8	
Austin Cary, FL	AUS (ENF)	29.74	-82.22	50	0.41	4.4	Powell et al. (2005)
Donaldson, FL	Dnld (ENF)	29.75	-82.16	50	0.39	3.0	Clark et al. (2004)
Mize, FL	Mize (ENF)	29.76	-82.24	50	0.37	3.6	Clark et al. (2004)
Duke Forest hardwoods, NC	DukeHdwd (DBF)	35.97	-79.10	0	0.41	4.2	Stoy et al. (2006)
Duke Forest pine, NC	Duke_Pine (ENF)	35.98	-79.09	163	0.41	4.2	Stoy et al. (2006)
Walnut River, KS	Walnut (Grass)	37.52	-96.86	408	0.28	1.3	
Vaira Ranch, CA	Vaira (Grass)	38.41	-120.95	129	0.30	2.1	
Tonzi Ranch, CA	Tonz (Savanna)	38.43	-120.97	177	0.30	1.9	
Blodgett, CA	Blod (ENF)	38.90	-120.63	1315	0.37	3.6	Goldstein et al. (2000)
Bondville, IL	Bond (Crop)	40.01	-88.29	213	0.40	2.8	
Niwot Ridge Forest, CO	NwtR (ENF)	40.03	-105.55	3050	0.28	2.2	
Black Hills, SD	BlkHls (ENF)	44.16	-103.65	0	0.32	2.9	
Univ of Michigan, MI	UMBS (ENF)	45.56	-84.71	234	0.35	3.1	
Fort Peck, MT	FtPeck (Grass)	48.31	-105.10	634	0.16	0.4	
Lethbridge, Alberta	Leth (Grass)	49.71	-112.94	960	0.16	0.3	Flanagan et al., 2002; Wever et al., 2002
Campbell River, Vancouver Island, BC	CampRvr (ENF)	49.85	-125.32	300	0.40	3.9	
BOREAS NSA— Old Black Spruce, Manitoba	NOBS (ENF)	55.88	-98.48	259	0.25	2.5	Dunn et al., 2007; data version: June, 2006
Barrow, AK	BRW (OShrub)	71.32	-156.63	1	0.26	0.7	

averaged the ET across all pixels. These averages were then compared with the tower ET observations.

4.1.2. Pre-processing data

4.1.2.1. Tower observations. For site observations of ET and meteorology, we aggregated the half-hourly data provided by the tower researchers into daily data without using additional quality control. To maintain the integrity of the observations, no gap-filling was performed for these data.

4.1.2.2. Spatially interpolating GMAO data. The resolution for GMAO meteorological data is too coarse for a 1-km² MODIS pixel. Zhao et al. (2005) found that, in the Collection 4 MODIS GPP/NPP algorithm (MOD17), each 1-km pixel falling into the same 1.00°×1.25° GMAO grid cell inherited the same meteorological data, creating a noticeable GMAO footprint (Fig. 1a,c in Zhao et al., 2005). Such treatment may be acceptable on a global or regional scale, but it can lead to large inaccuracies at the local scale, especially for terrain with topographical variation or located in relatively abruptly climatic gradient zones. To enhance the meteorological inputs, Zhao et al. (2005) have non-linearly interpolated the coarse resolution GMAO data to the 1-km² MODIS pixel level based on the four GMAO cells surrounding a given pixel. Theoretically, this GMAO spatial interpolation improves the accuracy of meteorological data for each 1-km² pixel because it removes the abrupt changes from one side of a GMAO boundary to the other. In addition, for most World Meteorological Organization (WMO) stations, spatial interpolation reduced the RMSE and increased the correlation between the GMAO data and the observed WMO daily weather data for 2000-2003, suggesting that the non-linear spatial interpolation considerably improves GMAO inputs. These interpolated data were, therefore, used in our calculations of ET.

4.1.2.3. Temporally interpolating MODIS data with bad QC or missing data. The 8-day MODIS LAI (MOD15A2) (Myneni et al., 2002) and 16-day MODIS NDVI and EVI (MOD13A2) (Huete et al., 2002, 2006) contain some cloud-contaminated or missing data (Hill et al., 2006). According to the MOD15A2 quality assessment scheme provided by Myneni et al. (2002), FPAR/LAI values retrieved by the main algorithm (i.e., Radiation

Transfer process, denoted as RT) are most reliable, and those retrieved by the back-up algorithm (i.e., the empirical relationship between FPAR/LAI and NDVI) are less reliable because the backup algorithm is employed mostly when cloud cover, strong atmospheric effects, or snow/ice are detected. The LAI retrievals by the backup algorithm have low quality and should not be used for validation and other studies (Yang et al., 2006). We temporally filled the missing or unreliable LAI, NDVI, and EVI at each 1-km MODIS pixel based on their corresponding quality assessment data fields as proposed by Zhao et al. (2005). The process entails two steps (see Fig. 5 in Zhao et al., 2005). If the first (or last) 8-day LAI (16-day NDVI, EVI) is unreliable or missing, it will be replaced by the closest reliable 8-day (16-day) value. This step ensures that the second step can be performed in which other unreliable LAI (NDVI, EVI) will be replaced by linear interpolation of the nearest reliable values prior to and after the missing data point.

4.1.2.4. MODIS albedo. For MODIS albedo, we used the 10th band of the White-Sky-Albedo from the 0.05° 16-day MOD43C1 BRDF products (Jin et al., 2003a,b; Lucht et al., 2000; Salomon et al., in press; Schaaf et al., 2002; http://www-modis.bu.edu/brdf/userguide/cmgalbedo.html). This MODIS albedo is used to calculate reflected solar radiation, and hence the net incoming solar radiation. The unreliable or missing albedo data are also temporally filled with the method proposed by Zhao et al. (2005).

4.2. Data at the global scale

The input data for the global ET include the $1.00^{\circ} \times 1.25^{\circ}$ GMAO meteorological data and the 0.05° MODIS data as outlined in Section 4.1 for 2001. We used the same method as in Section 4.1.2.2 to get the interpolated 0.05° GMAO data, and the same method as in Section 4.1.2.4 to get the MODIS albedo for each 0.05° MODIS pixel.

4.2.1. MODIS LAI from MOD15A2

We filled the unreliable 1-km² LAI data using the MOD15A2 quality assessment fields and the method proposed by Zhao et al. (2005), aggregated these enhanced 1-km² LAI into 5-km data, and further reprojected the 5×5 -km Sinusoidal data to 0.05° LAI in geographic projection. South America is the area where the



Fig. 3. The 8-day composite leaf area index (LAI) in Amazon region for the 8-day period 081 (March 21–28) in 2001 for (a) the original with no temporal interpolation of the LAI and (b) the temporally interpolated LAI.



Fig. 4. The ET observations (black dots, OBS), the ET estimates driven by flux tower observed meteorological data (black lines, tower_met) and GMAO meteorological data (grey lines, GMAO_met) in 2001 from the RS-PM algorithm (a, c) and our Revised RS-PM algorithm (b, d) at two tower sites UMBS (a,b) and NwtR (c,d).

cloud contamination is the most serious and the LAI seasonality is very small. To explore how the QC-controlled interpolations alter and enhance the input MODIS data quality, we compare the 8-day composited LAI in the Amazon for the original data integrated from MOD15A2 without the temporal interpolation and the enhanced LAI values with the interpolation for the period of



Fig. 5. Comparison of annual latent heat flux (LE) observations from the flux tower sites and the ET estimates averaged over the MODIS 3×3 km cutout. These data were created using (a) tower-specific meteorology and (b) the global GMAO meteorology.

March 21–28, 2001 during the wet season with the worst cloud contamination (Fig. 3). The original LAI values are too small ($< 2.0 \text{ m}^2/\text{m}^2$) for a large area surrounding the Amazon River, the result of severe cloud contamination. The MODIS land cover indicates most forests in the northern South America in Fig. 3 are evergreen broadleaf forests (EBF). Field LAI observations revealed a mean LAI of 4.8 ± 1.7 for 61 observations in tropical EBF (Asner et al., 2003; Malhi et al., 2004, 2006). There are a few pixels for which the enhanced LAI values are smaller than the original data because of the bad QCs. Overall, however, after temporal filling, LAI values in Amazon are much higher and the spatial pattern is more realistic.

4.2.2. MODIS EVI calculated from MOD43C3

Since Collection 4 MODIS data don't have a 0.05° global EVI product, we calculated the 0.05° global EVI (Eq. (7)) (Huete et al., 2002, 2006) using the 0.05° MODIS 43C3 BRDF (Bidirectional Reflectance Distribution Function) quality-con-

trolled surface reflectance. We then filled EVI gaps due to unreliable or missing BRDF reflectance with the method proposed by Zhao et al. (2005).

5. Results and discussion

5.1. Verification at the eddy flux tower sites

The ET average over the 3×3 1-km MODIS pixels surrounding each site was compared with the tower ET observations.

Two tower sites with more than 300 days of available ET and meteorological observations in 2001 were used to compare seasonal results between the RS-PM algorithm and our Revised RS-PM algorithm. The UMBS tower (University of Michigan Biological Station) is located within a mixed deciduous–conifer forest (45.5597 °N,– 84.7138°). The glacial moraine forest at Niwot Ridge (NwtR), CO, (40.0329 °N,– 105.5464°) is a sub-alpine coniferous forest site. Fig. 4 (a, b) demonstrates that our



Fig. 6. Root mean square error (RMSE) between observed and calculated 8-day latent heat flux using the RS-PM and Revised RS-PM algorithms driven by (a) flux tower meteorological data (tower met) and (b) GMAO meteorological data (GMAO met). Grey columns are the RMSE between the 8-day LE observations and the LE estimates driven by Cleugh's RS-PM algorithm; white columns are those driven by our Revised RS-PM algorithm. ENF: evergreen needle-leaf forest; DBF: deciduous broadleaf forest; Oshrub: open shrub.

Table 3 The tower abbreviations, 8-day RMSE, biases (BIAS) and correlation coefficient (R) of latent heat flux for the 19 AmeriFlux eddy flux towers as in Table 2

Site	RMSE1	RMSE2	RMSE3	RMSE4
KSCOak (DBF)	86.65	35.51	19.85	22.47
AUS (ENF)	50.66	72.20	17.93	24.38
Dnld (ENF)	47.06	46.26	43.48	41.40
Mize (ENF)	68.51	53.19	43.04	52.29
DukeHdwd (DBF)	91.63	120.23	27.71	29.22
Duke_Pine (ENF)	58.86	111.67	19.79	25.22
Walnut (Grass)	61.10	36.67	17.12	16.34
Vaira (Grass)	125.60	113.10	32.06	36.26
Tonz (Savanna)	148.31	134.29	40.77	48.04
Blod (ENF)	151.16	181.59	30.63	41.48
Bond (Crop)	47.58	54.56	52.81	45.84
NWTR (ENF)	37.41	59.68	40.55	33.21
BIKHIS (ENF)	105.48	103.69	10.46	19.04
UNIDS (ENF) EtBook (Groce)	22.25	00.90	24.32	22.57
L oth (Gross)	22.33	22.07	22.90	21.39
CompByr (ENE)	20.30	21.00 48.37	16.40	10.30
NORS (FNF)	13 72	72.09	27.38	29.71
BRW (OShrub)	14.05	12.64	7 23	8 09
Average	64 62	71.95	27.34	29.52
11, et age	0 1102	1100	27101	27.02
Site	BIAS1	BIAS2	BIAS3	BIAS4
KSCOak (DBF)	74.43	26.35	5.73	-1.20
AUS (ENF)	43.85	63.67	7.75	11.98
Dnld (ENF)	17.13	25.92	-31.76	-26.10
Mize (ENF)	44.90	21.59	-18.99	-23.27
DukeHdwd (DBF)	80.27	97.47	2.76	9.87
Duke_Pine (ENF)	44.89	87.37	-5.13	9.87
Walnut (Grass)	37.60	16.26	-10.85	-6.45
Vaira (Grass)	90.16	84.16	7.78	12.25
Tonz (Savanna)	130.42	119.18	38.48	45.27
Blod (ENF)	121.38	154.31	-11.95	-10.18
Bond (Crop)	1.77	16.12	-36.75	-28.15
NWIK (ENF)	-12.51	20.73	-35.90	-27.18
BIKHIS (ENF)	90.57	94.51 25.70	-2.85	0.55
EtPack (Grass)	-8.82	-9.46	- 14.31	-6.50
Leth (Grass)	-5.53	-9.40	-12.15	-10.59
CampRyr (FNF)	-6.45	31.31	-4.89	11.12
NOBS (ENF)	-5.83	47.60	17 31	20.29
BRW (OShrub)	-10.01	-8.69	3 68	-0.01
Average	39.90	48.17	-5.85	-1.27
C.'.	DI	D 2	D2	D.4
Site	KI 0.00	K2	K3	K4
KSCOak (DBF)	0.89	0.89	0.84	0.80
AUS (ENF)	0.56	0.49	0.81	0.67
Dnld (ENF)	0.48	0.48	0.60	0.51
MIZE (ENF)	0.68	0.62	0.81	0.72
Dukendwa (DDF)	0.92	0.90	0.92	0.91
Walnut (Grass)	0.90	0.88	0.90	0.87
Vaira (Grass)	0.47	0.49	0.70	0.67
Tonz (Savanna)	0.15	0.49	-0.13	-0.02
Blod (ENF)	0.80	0.87	0.86	0.67
Bond (Crop)	0.86	0.88	0.81	0.82
NwtR (ENF)	0.76	0.86	0.89	0.88
BlkHls (ENF)	0.63	0.77	0.96	0.86
UMBS (ENF)	0.96	0.95	0.95	0.96
FtPeck (Grass)	0.41	0.42	0.34	0.42
Leth (Grass)	0.67	0.66	0.66	0.57
CampRvr (ENF)	0.85	0.85	0.91	0.91

Table 3 (continued)

()				
lite	R1	R2	R3	R4
NOBS (ENF)	0.85	0.85	0.89	0.89
BRW (OShrub)	0.60	0.66	0.84	0.70
Average	0.70	0.72	0.76	0.72

1: Tower-driven results from RS-PM; 2: GMAO-driven results from RS-PM; 3: tower-driven results from Revised RS-PM; 4: GMAO-driven results from Revised RS-PM.

Revised RS-PM algorithm performs better than the RS-PM algorithm at UMBS in 2001. Our Revised RS-PM algorithm reduced the ET from nearly twice the magnitude of the observed ET data to values similar to the observations during May-September, while reducing the RMSE of the 8-day latent heat flux from 53.1 W/m^2 (62.8% of the observed annual mean) to 24.5 W/m^2 (29.0%) when the algorithm is driven by tower meteorological data, and from 67.0 W/m^2 (79.1%) to 22.4 W/m² (26.4%) with the GMAO meteorological data. However, Fig. 4 (c, d) shows that Revised RS-PM algorithm generally performs worse than the original ET algorithm at NwtR when driven by tower meteorological data in 2001. The ET estimates from our Revised RS-PM algorithm are much lower in spring and summer than the observations, but are much closer to the observations than those from RS-PM algorithm in autumn and winter. The RMSE of the 8-day latent heat flux driven by tower meteorological data increased from 37.4 W/m^2 (50.2% of the observed annual mean) with the RS-PM algorithm to 40.6 W/m^2 (54.4%) using Revised RS-PM algorithm. The NwtR site is located in the Roosevelt National Forest in the Rocky Mountains, sits on a glacial moraine and was established following clear-cut logging. Subalpine forest extends 2 km west of the tower. Due to the complex terrain and resulting heterogeneity of the landscape surrounding NwtR, it is possible that biases in scaling LE estimates from the flux tower to the larger 3×31 -km² area would be greater than at more homogeneous sites, decreasing the inaccuracies when using larger-scale models.

Fig. 5 shows the comparison between annual latent heat flux (LE) observations measured at the nineteen flux tower sites and those estimated with our Revised RS-PM algorithm averaged over a MODIS 3×3 1-km² cutout. These two sets of estimated data were driven by tower-specific meteorology (Fig. 5a) and the global GMAO meteorology (Fig. 5b). The correlation coefficients between the LE observations and tower-driven algorithm estimates are R=0.86 (p<0.00001) using our Revised RS-PM algorithm and R=0.68 (p=0.0014) using RS-PM. Comparison of tower data with results driven by the GMAO meteorology resulted in correlations of R=0.86 (p<0.00001) with our Revised RS-PM algorithm and R=0.67 (p=0.0017) with RS-PM. The relative error between the 8-day averaged LE estimates driven by GMAO and tower meteorology is 14.3%, indicating that meteorology plays an important role in the accuracy of our Revised **RS-PM** algorithm.

Our Revised RS-PM algorithm improves the ET estimates at most of the 19 flux tower sites in 2001 compared with these estimated using the RS-PM algorithm (Fig. 6; Table 3). When driven by tower meteorological data, our Revised RS-PM



Fig. 7. Global ET driven by interpolated GMAO meteorological data and 0.05° MODIS data in 2001 with the maximum ET of 1197 mm/yr and an average ET of 286 ± 237 mm/yr for vegetated land areas. Vegetated regions are shown in color, while regions in white are barren or sparsely vegetated areas and non-vegetated areas, including water bodies, snow and ice, and urban areas.

algorithm reduced RMSE at 14 of the 19 flux tower sites, while reducing the RMSE at 18 of the 19 flux tower sites when driven by the GMAO data. The average RMSE of the 8-day latent heat fluxes over the 19 flux towers (Fig. 6; Table 3) decreased from 64.6 W/m^2 using the RS-PM algorithm to 27.3 W/m² with our Revised RS-PM algorithm (tower-based meteorology) and from 71.9 W/m² to 29.5 W/m² (GMAO meteorology). The correlation coefficients between the ET estimates and observations for the 8-day results are very similar from both algorithms, averaging 0.72 with GMAO meteorological data and 0.76 with tower meteorological data (Table 3). The existing biases between the ET estimates and the ET observations may be influenced by:

1) Algorithm input data. Heinsch et al. (2006) compared tower meteorological data with GMAO data, and the 1-km MODIS LAI (MOD15) and MODIS land cover (MOD12) with ground-based measurements, finding existing biases in both the GMAO data and the MODIS data when compared to observations. While approximately 62% of MODIS LAI estimates were within the estimates based on field optical measurements, remaining values overestimated site values (Heinsch et al., 2006). Comparison of LAI at the patch level can significantly improve the results, but MODIS LAI still tends to be higher (Wang et al., 2004). Overestimates of LAI may result in overestimates of ET even if other input data such as the meteorological data and MODIS EVI data are relatively accurate. Although the temporal filling of unreliable MODIS data, including LAI, EVI and albedo, greatly improves the accuracy of inputs, the filled values are artificial and contain uncertainties. The MODIS LAI data are generated using the Collection 3 Land Cover data (Myneni et al., 2002), while we use the Collection 4 Land Cover product in our ET calculations. While differences between the two Land Cover data products are small (unpublished data), this could lead to inaccuracies in estimating ET. The inaccuracy in MODIS EVI will lead to miscalculation of Fc, and hence ET. An extreme experiment conducted by setting Fc as 1.0 for all 19 towers shows that tower-driven RMSE increases from 27.3 W/m² to 40.1 W/m², from 29.5 W/m² to 49.6 W/m² driven by GMAO data. The average LE bias of the tower-driven LE estimates to the LE observations changed from -5.8 W/m^2 to 19.2 W/m^2 and from -1.3 W/m^2 to 31.2 W/m^2 driven by GMAO data. Finally, MOD12Q1 accuracies are in the range of 70-80%, with most mistakes between similar classes (Strahler et al., 2002). Misclassification of the land cover will result in using the wrong parameters for VPD and minimum air temperature for stomatal conductance constraints, resulting in less accurate ET estimates. When no water or air temperature stress was put on the stomatal conductance, the tower-driven RMSE increased from 27.3 W/m^2 (with stress) to 43.2 W/m^2 , from 29.5 W/m² (with stress) to 46.3 W/m² driven by GMAO data. The average LE bias of the tower-driven LE estimates to the LE observations changed from -5.8 W/m^2 (with stress) to 19.9 W/m² and from -1.3 W/m² (with stress) to 23.3 W/m² driven by GMAO data. The correlation coefficients decreased from 0.76 (with stress) to 0.67 with the use of tower meteorological data. Water stress and air temperature stress can affect the ET estimates a lot. All of these uncertainties from inputs can introduce biases in ET estimates that are difficult to detect.

2) Missing observation data. The tower latent heat flux and meteorological data is typically reported at a half-hourly

interval. For seven of the 19 Ameriflux towers we used, there were fewer than 200 available days of both LE and meteorological data. For these available daily observations, there were, on average, fewer than 15 measurements per day. Using so few observation samples to obtain estimates of daily meteorology or a daily average of ET can lead errors in the analysis (Desai et al., 2005). However, most gap-filling methods have been tested on net ecosystem exchange of CO_2 and not ET, limiting our ability to obtain reliable gap-filled estimates of daily ET.

- 3) Scaling from tower to landscape. The size of the flux tower footprint is largely influenced by tower height and local environment conditions (Cohen et al., 2003; Turner et al., 2003a,b). The comparison of observed ET with the estimated from the 3×3 1-km² MODIS across all 19 sites may introduce uncertainties due to the differences in tower footprints for different towers and under varying environmental conditions for a given tower. In heterogeneous areas, the differing scales of the tower and MODIS ET estimates should be performed via an upscaling process, such as that used during the Bigfoot study (Cohen et al., 2003; Heinsch et al., 2006; Turner et al., 2003a,b). The expense and intensity of such studies, however, limits our ability to perform such comparisons.
- 4) Algorithm limitations. Some issues remaining in the ET algorithm might also contribute to the differences between the tower ET observations and the ET estimates by the algorithm. Biophysical parameters, such as C_L, VPD_close and VPD_open, used in the algorithm have the same values for a given biome type. However, for different species within the same biome type, the differences in these parameters can be large (Turner et al., 2003a,b). In addition, we have little knowledge regarding some parameters (e.g., boundary layer resistance for soil evaporation) and the mechanisms involved. Therefore, further study is needed to improve the ET algorithm for some ecosystems such as those in the arid areas.

5.2. Implementing ET algorithm at the global scale

These initial site-based results indicate that, although current ET estimates with our Revised RS-PM algorithm may contain biases when compared with observations, they generally agree well. The ET estimates driven by the different climate datasets are consistent at the 19 AmeriFlux sites. This suggests that we can use global reanalyzed assimilation meteorological data such as that from the GMAO together with MODIS data to estimate global ET.

Our Revised RS-PM ET algorithm was implemented globally for 2001 at a resolution of 0.05° using the preprocessed MODIS remote sensing data and the GMAO meteorological data (Fig. 7). The spatial pattern is generally reasonable, with a maximum ET of 1197 mm/yr, an average of 286 ± 237 mm/yr over vegetated land areas. As expected, tropical forests have the highest ET values, while dry areas and areas with short growing seasons have low estimates of ET. The ET for temperate and boreal forests lies between the two extremes (Fig. 7). Although there are some uncertainties, the ET magnitudes and spatial pattern of global ET generally agree with estimates provided in the literature. Evapotranspiration studies by Bruijnzeel (1990) in humid tropical forests suggest that the annual evapotranspiration ranges from 1310 to 1500 mm. Two years of combined field measurements of water vapor exchange over a Bornean tropical rainforest by Kumagai et al. (2005) show that the estimated annual evapotranspiration rates (1545 mm/yr) were at the upper limit of the range for tropical forests (Bruijnzeel, 1990; Calder et al., 1986; Leopoldo et al., 1995). Measurements of water vapor fluxes from September 1, 2003 to August 31, 2004 in a 74-yearold mixed forest in northern Ontario, Canada, reveal that the total water loss over the 12-month measurement period was 480 ± 30 mm, while total precipitation was 835 mm (Pejam et al., 2006). In data taken during 1965–1989 at 94 sites representing 11 biomes, Frank and Inouve (1994) estimate that ET ranges from 164 mm/yr in a hot desert to 1363 mm/yr in a wet tropical forest. Liski et al. (2003) reported that the ET in boreal and temperate forests across Europe (34 sites) ranged from 328 to



Fig. 8. (a) Annual total precipitation and (b) annual total MODIS GPP versus annual total ET (driven by GMAO meteorological data) in 2001. The solid line in (a) represents that the ratio of ET to precipitation is 1.0.

654 mm, while the average ET was 466 mm for Canada (18 sites) and 642 mm for the US and Central America (26 sites) for biomes ranging from arctic tundra to tropical rainforest.

Precipitation is not the input data to the ET algorithm, so it can be used to further verify our Revised RS-PM ET product. As expected, when the annual total Revised RS-PM ET is compared with annual total precipitation (Fig. 8a) from Chen et al. (2002) and the annual total MODIS GPP (Zhao et al., 2005) (Fig. 8b), areas with high precipitation and high GPP correspond favorably with areas with high ET. Where precipitation is high, vegetation grows well and the resulting MODIS GPP is high. Therefore, high MODIS GPP should correspond to high MODIS ET (Korner, 1994; McMurtrie et al., 1992).

To validate the global MODIS ET results, we calculated the water balance as the difference between annual precipitation from Chen et al. (2002) and annual MODIS ET (Fig. 9).



Fig. 9. (a) Global precipitation (precip) in 2001 with a maximum of 7588 mm/yr and an average of 780 ± 686 mm/yr over land. (b) The difference between annual precipitation and annual ET (driven by GMAO meteorological data) in 2001, with a maximum of 4476 mm/yr and an average of 586 ± 568 mm/yr. Vegetated regions are shown in color, and the regions in white are barren or sparsely vegetated areas and non-vegetated areas, including water bodies, snow and ice, and urban areas.

Theoretically, over a relatively long time period, ET should be less than precipitation (Donohue et al., 2007). Figs. 8a, 9 show that, annually, ET is less than or equal to precipitation for most vegetated pixels on the globe. However, for a number of pixels, ET is greater than precipitation, which may result from:

- 1) Biases in the GMAO meteorological data. Zhao et al. (2006) compared observed weather station data and gridded data interpolated from the observations with surface meteorological data from three well-documented global reanalyses: GMAO, European Centre for Medium-Range Weather Forecasts (ECMWF), and National Centers for Environmental Prediction/National Center for Atmospheric Research (NCEP/ NCAR) reanalysis 1 to evaluate the sensitivity of the MODIS GPP/NPP to uncertainties in the meteorological inputs for both the United States and global vegetated areas. The NCEP/NCAR data tends to overestimate surface solar radiation, and underestimate both temperature and VPD. The ECMWF data have the highest accuracy but the radiation is lower in tropical regions and the accuracy of the GMAO meteorology lies between the NCEP and ECMWF (Zhao et al., 2006). Global total MODIS GPP and NPP driven by the three reanalyses datasets show notable differences (>20 Pg C/yr, average GPP: 109 Pg C/yr and average NPP: 56 Pg C/yr over 2000-2003 by GMAO) with the highest estimates from NCEP and the lowest from ECMWF. These results reveal that the biases in meteorological reanalyses can introduce substantial error. Since the incoming shortwave radiation controls the energy available for LE, and there are biases in VPD and temperature, using any of these datasets can introduce uncertainty into ET estimations.
- 2) Biases in monthly precipitation. Chen et al. (2002) obtained monthly precipitation using gauge observations from over 17,000 stations collected from the Global Historical Climatology Network (GHCN), and the Climate Anomaly Monitoring System (CAMS) datasets (Chen et al., 2002). Monthly global precipitation data over land (http://www.cpc. ncep.noaa.gov/products/global_precip/html/wpage.50yrrec. html) were reconstructed by interpolating gauge precipitation anomalies using the optimal interpolation method (Gandin, 1965). Western Europe, India, East Asia, the eastern and southwestern coastal areas of Australia, the United States, and some coastal areas of Africa and South America are covered by relatively dense gauge networks, providing more accurate data than areas in the Amazon, tropical Africa and high latitude areas where observations are sparsely distributed with notable gaps (Fig. 2 in Chen et al., 2002). In addition, there are uncertainties in gauge-measured daily precipitation. Yang et al. (2005) analyzed the precipitation at 4802 stations located north of 45 °N and concluded that the undercatch of gauge-measured precipitation is up to 22 mm/ month in winter, and approximately 10 mm/month during the summer season due to wind-induced undercatch, wetting losses and trace precipitation amounts. The uncertainties in the interpolated data from the scattered observations and the interpolation procedure caused biases in the annual precipitation, which could be a primary reason why annual ET is

larger than the annual precipitation for some areas, especially in the northern high latitudes (Fig. 9).

- 3) Irrigation, water infiltration and subsurface runoff. There is spatial redistribution of water from precipitation as a result of topography (e.g. Beven et al., 1995; Grayson et al., 1995; Laurenson & Mein, 1995). For example, ET is higher in arid Egypt than precipitation due to irrigation of crops using water from the Nile River, which originates in East Africa. Irrigation effects on agricultural areas of South America and the central USA might also be partially responsible for the negative differences between precipitation and evapotranspiration. In addition, Talsma and Gardner (1986) showed that some *Eucalvptus* species drew more heavily on stored soil water (ssw) during the summer of a drought year than the summers of years with average rainfall, using 200 mm more ssw than average. Donohue et al. (2007) demonstrate that ET values can exceed the energy limit for several years of unexpectedly low runoff which were preceded by moderately dry years in Sphagnum bogs with large water holding capacities. The observed pattern implies that the recharge/ discharge of these bogs results in relatively large changes in ssw. Finally, Calder et al. (1997) reported that Eucalyptus plantations established on former croplands exploited substantial ssw, resulting in unusually high ET and that ssw could be up to 50% of precipitation for several years after planting. These examples demonstrate that vegetation dynamics can result in non-steady-state conditions and higher ET than precipitation, especially after vegetation change, over periods of up to several years. The longer the period needed for steady-state conditions, the more possible that ET might be higher than precipitation and the less useful the water balance of precipitation minus ET for catchment and land management applications.
- 4) *Issues with the MODIS ET algorithm itself.* There are still improvements that can be made to the ET algorithm. First, r_a for the plant transpiration should not be constant and r_{tot} for the soil evaporation should not be a single specified value for all biome types. In the future, we will estimate r_a and r_{tot} as functions of meteorological data, remote sensing data and different vegetation types. Second, the surface resistance (r_s) needs to be refined, and multiple-year data at additional flux towers will be used to validate and refine the algorithm.

6. Conclusions

We have revised the RS-PM ET algorithm by adding vapor pressure deficit and temperature constraints on stomatal conductance; using LAI as a scalar to estimate canopy conductance from stomatal conductance; replacing NDVI with EVI and changing the equation for the calculation of vegetation cover fraction; and adding a separate soil evaporation component to ET. The ET estimates from the RS-PM and Revised RS-PM algorithms were compared with ET observations at 19 Ameri-Flux eddy flux towers driven by both site-observed daily meteorological data and GMAO reanalysis data. The verification shows that our Revised RS-PM algorithm improved ET estimates, reducing the 8-day latent heat flux biases from 64.6 W/m² by RS-PM algorithm to 27.3 W/m^2 driven by tower meteorological data, and from 71.9 W/m^2 to 29.5 W/m^2 driven by GMAO meteorological data. The correlation coefficients increased slightly from 0.70 to 0.76 with the use of tower meteorological data.

Daily ET estimates at the tower sites driven by GMAO data are consistent with those driven by site-based meteorological data. Due to the inclusion of several different land cover types and climates, the agreement between the ET estimates from our Revised RS-PM ET algorithm and tower ET observations implies that our Revised RS-PM ET algorithm can be implemented globally.

The global annual ET in 2001 shows reasonable spatial patterns in comparison with spatial annual MODIS GPP, annual precipitation and other studies, with areas of high ET corresponding to high GPP and high precipitation. Based on the results, our Revised RS-PM ET algorithm can be applied globally to generate near-real-time, 8-day and annual ET products, providing critical information on global terrestrial water and energy cycles and environmental changes.

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